

DBE Goals for Fiscal Year 2000

Notice of Public Meetings

The U. S. Department of Transportation (U.S. DOT) issued new regulations for the Disadvantaged Business Enterprise (DBE) Program. In accordance with the new regulations, the Texas Department of Transportation (TxDOT) will establish overall goals for DBE participation on TxDOT contracts. TxDOT will conduct public meetings at the locations listed to receive comments on the methods for establishing the DBE goals for fiscal year 2000.

Any interested person may appear and offer verbal or written comments and will be granted an opportunity to present them during the meeting. Organizations or groups are encouraged to present their commonly held views through a representative member.

The new DBE rules can be found on the internet at <http://osdbuweb.dot.gov/programs/dbe/dbe.htm> or you can request them through the Business Opportunity Programs (BOP) Section (512/703-5826). Beginning on Page 5 of this newsletter is an excerpt of the rules pertaining to the DBE goals.

<i>Date/Time of Meeting</i>	<i>District</i>	<i>Address of Meeting Room</i>
June 18, 1999 1:30 p.m.	Austin	Hearing Room 125 E. 11th Street Austin, Texas
June 23, 1999 1:30 p.m.	Dallas	Dallas Room 4777 E. Highway 80 Mesquite, Texas
June 24, 1999 1:30 p.m.	El Paso	1430 Joe Battle Blvd. (Loop 375/ Americas Ave.) El Paso, Texas
June 30, 1999 1:30 p.m.	Houston	Main Conference Room 7721 Washington Ave. Houston, Texas
July 1, 1999 1:30 p.m.	Pharr	Assembly Room 600 West U.S. Expressway 83 Pharr, Texas

For special communication or accommodation needs or for additional information, please contact Cynthia Gonzales at (512) 703-5837 or write to Texas Department of Transportation, Construction Division, BOP Section, 125 E. 11th St., Austin, Texas 78701-2483. ➔

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HUB Goal Exceeded on \$105 Mil Project

*Contributed by
Granite Construction Company.*

Meeting a Historically Underutilized Business (HUB) goal on a large project can often be a challenge for a contractor. Segment II of the North Central Expressway, a \$105 million reconstruction of a major urban Dallas Freeway, was certainly no exception. Granite Construction Company faced



a HUB goal of 15% or nearly \$16 million. The company was well prepared to meet this challenge. In fact, they went over their goal by \$5.5 million for a grand total of \$21.5 million paid to HUBs.

continued on page 4

From the Director



James Dossett

Welcome to our second issue of *Road Lines*.

Road Lines provides a map to the over \$2 billion spent by TxDOT each year. *Road Lines* also provides a map

to the small businesses interested in doing business with TxDOT. At the intersection where the two meet is a business deal. I encourage you to take a trip through *Road Lines* and pursue that business deal. In this issue you will read about others who have successfully taken the trip as well as receive information on how you can begin your journey.

Through the success of others, we can learn what we need to do to accomplish our goals. The feature articles on Granite Construction, J. L. Steel, John Rosson and Quality Testing and Engineering, Inc. are success stories that profile the intent of the DBE/HUB Programs. We are very proud of their success and look forward to many more success stories in the future.

Another feature article addresses the upcoming public meetings for the new TxDOT DBE Rules. Plan to attend one of these important meetings in order to voice your opinions/concerns and learn how these new rules will affect your business.

Our next issue will include a new column with information from experienced prime contractors. A variety of tips in obtaining finances, completing paperwork, networking, etc. will help bridge the gap between primes and subcontractors by building partnerships.

Finally, we continue to solicit your comments, questions and suggestions that will help us to improve the communications between you, the business community and TxDOT. Use the enclosed order form and forward it to us. We want to hear from you! ➤

QUALITY TESTING AND ENGINEERING, INC.

Contributed by QTE, Inc.

"Quality is not only desired, it is required." This is the motto at Quality Testing and Engineering (QTE), Inc. Founded in 1997, QTE is a full-service geotechnical and construction materials testing operation.

As a certified DBE with TxDOT, QTE is one of only a handful of minority firms that specialize in material testing. The President of QTE, Lawrence Kelley, stated, "Certification through TxDOT has made a major impact on QTE's business. It has increased our business approximately 40% since becoming certified."

The QTE staff is comprised of professionals who are knowledgeable in all facets of testing, including asphalt, concrete, soils and nondestructive testing services. The principal owners are Lawrence Kelley and Roderick Wisham, both having graduated with degrees in Civil Engineering (Prairie View A&M) and Construction Engineering Technology (Louisiana Tech University), respectively. Lawrence and Roderick spent the past 17 years with TxDOT as the Dallas District Materials Engineer and the Dallas District Laboratory Supervisor, respectively. In February of 1998, Lawrence and Roderick joined forces with James Thompson and Edward Gibson, both of whom bring experience in the industries of construction/materials testing and business development.

Through subcontracting opportunities with prime contractors, QTE looks forward to meeting the requirements of DBE/HUB government-funded projects. Primes who have worked with QTE include Reynolds Asphalt, Marock, Inc and Peachtree Asphalt. Successful marketing efforts and expertise in quality testing services have allowed the company to develop

firm working relationships in its two-year existence.

Another major facet of QTE's business is with the Aggregate Quality Monitoring Program. This is a program whereby QTE works with TxDOT to rate aggregate for use in concrete. One of the latest technological instruments QTE employs is the maturity meter. As a result of using this equipment, TxDOT's construction completion time can be decreased by 40%, resulting in reduced construction costs.

Even as a new business, QTE is looking to the future with major



Edward Gibson, Lawrence Kelley, James Thompson, Roderick Wisham

planning objectives. Those objectives include not only business expansion and working with other testing laboratories, but also direct involvement with their local community. QTE looks forward to developing a cooperative education program with high schools for internships for students to explore career opportunities in geotechnical material testing.

QTE is proud to be a participant in the TxDOT DBE Program and is a member and advisor of the Quality Control/Quality Assurance Research Committee. QTE and its staff have formed one of the most reputable and fastest growing geotechnical and construction material testing firms in the state. QTE is eager to become a member of your team by providing you with quality services. ➤

CONGRATULATIONS!

Newly Certified as DBEs

Pereira Engineering and Technical Services

104 Canyon View Court

Weatherford, TX

P.O. Box 151252

Fort Worth, TX 76108

Engineering

JIM Construction, Inc.

707 Virginia Street, Suite 418

East Charleston, WV 25301

Earthwork, base and subbase, minor structures, hauling, underground and utility work

Prism Imaging, Inc.

111 West Anderson Lane, #D-198

Austin, TX 78752

Placing engineering drawings/maps/plans on CD-ROM or DVD, imaging hardware & software

Vanguard Associates

16415 Spotted Eagle

Leander, TX 78641

Business management consultant services

QTE Quality Testing and Engineering, Inc.

10530 Shady Trail

Dallas, TX 75220

Engineering, construction materials testing

Dahleen Dressage Construction

1470 East Whitestone Blvd.

Cedar Park, TX 78613

Fencing, illumination, major/minor structures, traffic control devices, underground and utility work

Clotey Engineering

2510 Ridgeview Rd.

Paris, TX

P.O. Box 253

Paris, TX 75460

Engineering

Caye Cook, A.S.L.A.

8588 Northwest Plaza Dr., #214

Dallas, TX 75225

Landscape architect

Vicente C. Hernandez Dump Truck Service, Inc.

10214 Westridge

Odessa, TX 79764

Hauling

GT and Associates, Inc.

P.O. Box 14704

Baton Rouge, LA 70898

Engineering

Burgoon Company

2301 Strand, #240

Galveston, TX 77550

Laboratory supplies & equipment, safety supplies

Trevino & Associates Mechanical, Inc.

9746 Brockbank Dr.

Dallas, TX 75220

Plumbing & HVAC (mechanical contractor)

Certified Truck Owner-Operators

Elias Lopez

3004 East 3rd Street

Austin, TX 78702

Garnett L. Smith

2724 Southpark Ln.

Fort Worth, TX 76133

Hector Cordova

P.O. Box 711

Charlotte, TX 78011

John J. Cano

524 Old Carrizo Rd.

Uvalde, TX 78801

Juan M. Salazar

202 Lovers Lane

Uvalde, TX 78801

Eduardo Garcia

1804 Amanda

San Antonio, TX 78210

Raul Suarez

743 Jennings Ave.

San Antonio, TX 78225-1323

Jaime R. Munoz

1722 Commercial

San Antonio, TX 78221

Luis Carlos Del Hierro

1027 Hazel

San Antonio, TX 78207

Alejandro Ramirez Jr.

425 Conner

San Antonio, TX 78204

Jose T. Salinas

7131 New Laredo Hwy.

San Antonio, TX 78211

Roberto Montelongo

P.O. Box 518

Elmendorf, TX 78112

Benito Garcia

1535 San Rafael

San Antonio, TX 78214

Michael A. Escobedo

982 S.W. 39th

San Antonio, TX 78237

Santiago D. Constante

134 Bernice

San Antonio, TX 78228

William Rodriguez

5418 Quintard

San Antonio, TX 78214

Frequently Asked Questions

Regarding Disadvantaged Business Enterprises (DBEs) & Historically Underutilized Businesses (HUBs)

What is meant by the term "letting"?

Letting is the process of reading bids that have been submitted and identifying low bidders. The Construction Division of TxDOT conducts the letting process each month in Austin for construction and maintenance contracts. Anyone can attend. Call (512) 416-2504 for additional information.

If I submit additional DBE/HUB commitments over the DBE/HUB goal stated in the contract, does the commitment become the new goal?

No, the commitment does not become the contract DBE/HUB goal. If the DBE/HUB becomes unwilling or unable to perform the work and the original DBE goal is still being met, you will not be required to make an effort to replace the firm with another DBE/HUB.

As a prime contractor on a highway construction project with a DBE goal, do I have to contact all the DBEs in TxDOT's DBE Directory?

No. First, locate DBEs who have specified they are willing to work in the county where the project is located. From that list, contact DBEs who have indicated they perform the type of work you require.

Does TxDOT have a complaint process for DBEs?

Yes. Section 9.59 of the Texas Administrative Code, Business Opportunity Programs Complaints provides procedures for filing a complaint concerning the Business Opportunity Programs. These procedures are used for complaints regarding violations of the Business Opportunity Programs or discrimination by an external (non-

TxDOT) party. For discrimination complaints against a department employee, use the procedure in the department's Human Resources Manual.

I understand there are new DBE rules. How can I find out about them?

The U.S. DOT created a Web page for just this purpose. One of these documents is Paving the Road to Equal Opportunity - Key Issues In The New Rule. This summary highlights the new regulation's three major goals:

- * To create a level playing field on which DBEs can compete fairly;
- * To mend but not end the DBE program; and
- * To make the DBE program more effective and efficient for all participants.

Additionally, the questions and answers clarify more of the critical issues. Check out their Web page:

<http://osdbuweb.dot.gov/programs/dbe/dbe.htm>.

How do I get help applying for DBE certification?

TxDOT offers certification workshops at each of the monthly construction contract lettings which are held at 200 E. Riverside, Austin, Texas. Presentations about the DBE program, certification process and how the BOP Section can help small businesses are followed by informal discussions with each attendee. This is an opportunity to review specific information on your company one-on-one with a BOP Certification Specialist. The BOP Section staff are knowledgeable in all areas of the certification process and can answer the most challenging questions. Stop by the workshop

and get a headstart on the certification process. (See calendar on Page 10 for dates.)

If you cannot attend a workshop, please let us know if you need assistance with the DBE application (512/703-5830). ➔

**Do you have questions for us?
Use the order form on Page 11
to submit questions or call us
at 512/703-5826.**

Business Opportunity Programs Section Has Selected 37 Firms To Provide Technical Assistance & Training To DBE/HUBs!

The BOP Section has been diligently reviewing Information For Bid packages submitted by firms that are interested in providing technical assistance and training to DBE/HUBs in Texas. This review is now complete and it appears that 37 firms will receive an award through TxDOT's Requisition/Purchase Order process to provide their services.

The Technical Assistance Program (TAP) has been a very popular program with the DBE/HUB community because not only do DBE/HUBs receive technical assistance and training in the critical areas of managing a business, but they also receive this assistance and training FREE of charge.

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TIPS FOR USING FREE PLAN ROOM SERVICES

Did you know FREE plan room services are offered to all certified DBEs and HUBs? Services available include: copies of construction plans, bidders (plan holders) lists, letting results and low bidders, and bid tabulations.

Currently, this service is being provided through the Texas Engineering Extension Service (TEEX). The plan room is open Monday – Friday, 8:00 a.m. to 5:00 p.m. You can place your order by phone (800) 962-3413 or fax (409) 862-8471. Each firm is allotted 4,800 plan sheets per year. Firms which exceed this amount will be charged a nominal fee of up to \$0.30 per plan sheet, payable to TEEX.

Helpful Plan Room Service Tips

- Order forms can be obtained through TEEX.
- When you place your order, have your TxDOT DBE or HUB vendor number and expiration date.
- Provide your firm's physical and mailing addresses.
- Provide the project number, county location and page numbers for each project; insure that the order form is signed.
- TEEX uses UPS Ground Track, however, they can ship overnight through Federal Express, Lone Star Overnight, etc., but this will be at the expense of your firm. An account number must be provided to TEEX.
- Plan Holders lists can be provided as early as two Fridays before letting.
- Bid Tabulations can be provided the day after letting.
- Full sets of plans are available, if required.
- A 24-hour turnaround is guaranteed and all orders are on a first come, first serve basis.
- If you should encounter voicemail when calling, leave a message or place your actual order. Phone orders have the same guaranteed turnaround. ➔



*Amanda Yett, Plan Room Technician
Shane Dillard, Plan Room Manager*

Business Opportunities Programs (Continued from Page 3)

If you are a certified DBE or HUB, and you are currently bidding or working on a TxDOT project or procurement project, your firm may be eligible to receive technical assistance and training in the following areas:

- Accounting & Cash Flow Management
- Bonding
- Business Law/EEOC/Affirmative Action
- Business Management, Plans & Marketing
- Computer
- Construction
- Safety Requirements

The BOP Section will be publishing a list of the selected companies, with detailed information on what services they provide and how to request their services by the first week of July. If you would like this list sent to you, please let us know by using the order form in this newsletter on Page 11. ➔

\$105 Million Project (Continued from Page 1)



Granite uses DBE/HUBs whenever possible and has a written policy of providing equal opportunity to all firms in soliciting bids. According to Mike Donnino of Granite's Texas regional office, "the keys to a successful DBE/HUB program are to maintain relationships with the subs throughout the year, keep an open mind to new companies and keep them informed of their opportunities with you."

Donnino says, "There are some excellent DBE/HUBs in this area, and we had the pleasure to work with many of them on this project." In fact, Granite used a total of 23 HUB subcontractors and suppliers to provide a variety of materials and services such as reinforcing steel, prestressed box beams, guardrail, concrete work, paving stones, traffic control, drainage work, asphalt concrete, landscaping and hauling.

"There is a tremendous need for qualified DBE/HUB contractors in our industry," says Donnino. He adds, "we're happy to help them develop into viable companies. We all started small. Sometimes you just have to take a chance on someone!"

The Central Expressway project has been recognized for excellence in other areas as well, receiving AASHTO's Value Engineering Award in 1997, the Texas Quality Initiative Award in February of this year, and most recently, the 1999 Marvin M. Black Award for Partnering. ➔

Subject of Public Hearing - DBE GOAL SETTING PROCESS

Code of Federal Regulations - 49 CFR Part 26.45

§26.45 How do recipients set overall goals?

(a) You must set an overall goal for DBE participation in your DOT-assisted contracts.

(b) Your overall goal must be based on demonstrable evidence of the availability of ready, willing and able DBEs relative to all businesses ready, willing and able to participate on your DOT-assisted contracts (hereafter, the “relative availability of DBEs”). The goal must reflect your determination of the level of DBE participation you would expect absent the effects of discrimination. You cannot simply rely on either the 10 percent national goal, your previous overall goal or past DBE participation rates in your program without reference to the relative availability of DBEs in your market.

(c) Step 1. You must begin your goal setting process by determining a base figure for the relative availability of DBEs. The following are examples of approaches that you may take toward determining a base figure. These examples are provided as a starting point for your goal setting process. Any percentage figure derived from one of these examples should be considered a basis from which you begin when examining all evidence available in your jurisdiction. These examples are not intended as an exhaustive list. Other methods or combinations of methods to determine a base figure may be used, subject to approval by the concerned operating administration.

(1) Use DBE Directories and Census Bureau Data. Determine the number of ready, willing and able DBEs in your market from your DBE directory. Using the Census Bureau’s County Business Pattern (CBP) database, determine the number of all ready, willing and able businesses available in your market that perform work in the same SIC codes. (Information about the CBP database may be obtained from the Census Bureau at their web site, www.census.gov/epcd/cbp/view/cbpview.html.) Divide the number of DBEs by the number of all businesses to derive a base figure for the relative availability of DBEs in your market.

(2) Use a bidders list. Determine the number of DBEs that have bid or quoted on your DOT-assisted prime contracts or subcontracts in the previous year. Determine the number of all businesses that have bid or quoted on prime or subcontracts in the same time period. Divide the number of DBE bidders and quoters by the number for all businesses to derive a base figure for the relative availability of DBEs in your market.

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(3) Use data from a disparity study. Use a percentage figure derived from data in a valid, applicable disparity study.

(4) Use the goal of another DOT recipient. If another DOT recipient in the same, or substantially similar, market has set an overall goal in compliance with this rule, you may use that goal as a base figure for your goal.

(5) Alternative methods. Subject to the approval of the DOT operating administration, you may use other methods to determine a base figure for your overall goal. Any methodology you choose must be based on demonstrable evidence of local market conditions and be designed to ultimately attain a goal that is rationally related to the relative availability of DBEs in your market.

(d) Step 2. Once you have calculated a base figure, you must examine all of the evidence available in your jurisdiction to determine what adjustment, if any, is needed to the base figure in order to arrive at your overall goal.

(1) There are many types of evidence that must be considered when adjusting the base figure. These include:

(i) The current capacity of DBEs to perform work in your DOT-assisted contracting program, as measured by the volume of work DBEs have performed in recent years;

(ii) Evidence from disparity studies conducted anywhere within your jurisdiction, to the extent it is not already accounted for in your base figure; and

(iii) If your base figure is the goal of another recipient, you must adjust it for differences in your local market and your contracting program.

(2) You may also consider available evidence from related fields that affect the opportunities for DBEs to form, grow and compete. These include, but are not limited to:

(i) Statistical disparities in the ability of DBEs to get the financing, bonding and insurance required to participate in your program;

(ii) Data on employment, self-employment, education, training and union apprenticeship programs, to the extent you can relate it to the opportunities for DBEs to perform in your program.

(3) If you attempt to make an adjustment to your base figure to account for the continuing effects of past discrimination (often called the “but for” factor) or the effects of an ongoing DBE program, the adjustment must be based on demonstrable evidence that is logically and directly related to the effect for which the adjustment is sought.

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(e) Once you have determined a percentage figure in accordance with paragraphs (c) and (d) of this section, you should express your overall goal as follows:

- (1) If you are an FHWA recipient, as a percentage of all Federal-aid highway funds you will expend in FHWA-assisted contracts in the forthcoming fiscal year;
- (2) If you are an FTA or FAA recipient, as a percentage of all FTA or FAA funds (exclusive of FTA funds to be used for the purchase of transit vehicles) that you will expend in FTA or FAA-assisted contracts in the forthcoming fiscal year. In appropriate cases, the FTA or FAA Administrator may permit you to express your overall goal as a percentage of funds for a particular grant or project or group of grants and/or projects.

(f) (1) If you set overall goals on a fiscal year basis, you must submit them to the applicable DOT operating administration for review on August 1 of each year, unless the Administrator of the concerned operating administration establishes a different submission date.

(2) If you are an FTA or FAA recipient and set your overall goal on a project or grant basis, you must submit the goal for review at a time determined by the FTA or FAA Administrator.

(3) You must include with your overall goal submission a description of the methodology you used to establish the goal, including your base figure and the evidence with which it was calculated, and the adjustments you made to the base figure and the evidence relied on for the adjustments. You should also include a summary listing of the relevant available evidence in your jurisdiction and, where applicable, an explanation of why you did not use that evidence to adjust your base figure. You must also include your projection of the portions of the overall goal you expect to meet through race-neutral and race-conscious measures, respectively (see §26.51(c)).

(4) You are not required to obtain prior operating administration concurrence with the your overall goal. However, if the operating administration's review suggests that your overall goal has not been correctly calculated, or that your method for calculating goals is inadequate, the operating administration may, after consulting with you, adjust your overall goal or require that you do so. The adjusted overall goal is binding on you.

(5) If you need additional time to collect data or take other steps to develop an approach to setting overall goals, you may request the approval of the concerned operating administration for an interim goal and/or goal-setting mechanism. Such a mechanism must:

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(i) Reflect the relative availability of DBEs in your local market to the maximum extent feasible given the data available to you; and

(ii) Avoid imposing undue burdens on non-DBEs.

(g) In establishing an overall goal, you must provide for public participation. This public participation must include:

(1) Consultation with minority, women's and general contractor groups, community organizations, and other officials or organizations which could be expected to have information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and your efforts to establish a level playing field for the participation of DBEs.

(2) A published notice announcing your proposed overall goal, informing the public that the proposed goal and its rationale are available for inspection during normal business hours at the your principal office for 30 days following the date of the notice, and informing the public that you and the Department will accept comments on the goals for 45 days from the date of the notice. The notice must include addresses to which comments may be sent, and you must publish it in general circulation media and available minority-focused media and trade association publications.

(h) Your overall goals must provide for participation by all certified DBEs and must not be subdivided into group-specific goals.

Public Hearing

Public Hearing

Public Hearing

DBE Graduate — J.L. Steel, Inc.

Contributed by J.L. Steel, Inc.



Rick Garces, John Rosson, Oscar Trevino, Arturo Aguilar, Mike LaPointe

In mid-1989, J.L. Steel, Inc. started as a firm that subcontracted the placement of reinforcing steel in the heavy-highway industry, quickly making an impact in this market. The owners of J.L. Steel are Oscar Trevino and Mike LaPointe.

J.L. Steel actively participated in the DBE program. After its graduation from the program in 1997, J.L. Steel has continued to be active as a prime contractor. Oscar and the employees of J.L. Steel, Inc. have participated, attended and helped promote

many of the programs and seminars that the BOP office offers so as to hone their skills and knowledge in both the business and the industry.

Oscar received his Civil Engineering degree from Texas A&M University

in 1978. He is a registered Professional Engineer and, upon graduation from college, worked for H.B. Zachry Company until the time J.L. Steel, Inc. was started.

The certification of J.L. Steel as a DBE was a business tool used to acquire and assure future work as a subcontractor. Along with this valuable tool, efficiency, price, knowledge, safety and quality all played a major role in their marketing strategy. Oscar and Mike knew that to

succeed in the construction industry, they needed to provide their customers with something more than a DBE certification number. Work was performed in a manner that gave a fair return for the risks and costs incurred, trying to never neglect the customers' needs or concerns.

Through the Associated General Contractors and similar industry-based organizations, J.L. Steel, Inc. has worked with other general contractors toward advancing the construction industry by concentrating on similar problems and common roadblocks encountered. J.L. Steel, Inc. recognized the DBE program was not a giveaway program. In order to succeed in the construction industry, you need to produce.

J.L. Steel, Inc. continues the placement of reinforcing steel and also performs concrete paving and other work as both a subcontractor and prime contractor in the heavy-highway industry. J.L. Steel, Inc. has 170 employees and performs in excess of \$25 million worth of work annually. 🇺🇸

Fort Worth District DBE/HUB Coordinator: John M. Rosson

Contributed by the Fort Worth District



As the Fort Worth DBE/HUB coordinator for 14 years, it is John Rosson's responsibility to network with DBE/HUB customers as well as to monitor

DBE/HUB contract specifications of the prime contractor.

John has been successful in networking with DBE/HUBs by attending the Fort

Worth Minority Chamber of Commerce's business meetings held once a month. The quarterly DBE/HUB Liaison committee meetings sponsored by TxDOT are another vehicle John uses as an outreach tool for promoting DBE/HUB activity.

While working in an urban district with a large volume of projects and over 100 local DBE/HUBs, John continues to find time for assisting the DBE/HUBs in TxDOT's program. John is very proud of the fact that the Fort Worth District has one of the few DBE contractors in the state to graduate to a prime contractor status. (See above article on J. L. Steel, Inc.)

The DBE/HUB program needs the support of the DBE/HUB coordinators to be successful. John monitors the DBE monthly progress reports submitted for payment to all DBE/HUB contractors. These reports are essential in reporting accurate contract payments to the DBE/HUBs. John also assists TxDOT's Construction Division personnel when they come to the Fort Worth District to perform DBE/HUB contract compliance reviews and for outreach activities such as job fairs and trade shows.

TxDOT appreciates the assistance and efforts of the DBE/HUB coordinators such as John's. 🇺🇸

1999 Calendar of Events

	Date	Time	Event	Location	Telephone
June	2 & 3	1:00 p.m.	TxDOT Letting	Austin – 200 E. Riverside, Rm. 101	(512) 416-2540
	2	2–5 p.m.	DBE Certification Workshop	Austin – 200 E. Riverside, Rm. 102	(512) 703-5826
	18	10–3:30 p.m.	Statewide Liaison Meeting	Austin – 125 E. 11th St., Hearing Rm.	(512) 703-5826
	18	1:30 p.m.	Public Meeting	Austin – 125 E. 11th St., Hearing Rm.	(512) 703-5837
	23	1:30 p.m.	Public Meeting	Dallas – 4777 East Hwy. 80, Assembly Rm.	(512) 703-5837
	24	1:30 p.m.	Public Meeting	El Paso – 1430 Joe Battle Blvd., (Loop 375/Americas Ave.)	(512) 703-5837
July	30	1:30 p.m.	Public Meeting	Houston – 7721 Washington Ave., Main Conf. Rm.	(512) 703-5837
	1	1:30 p.m.	Public Meeting	Pharr – 600 West U.S. 83, Assembly Rm.	(512) 703-5837
	6 & 7	1:00 p.m.	TxDOT Letting	Austin – 200 E. Riverside, Rm. 101	(512) 703-5837
	6	2–5 p.m.	DBE Certification Workshop	Austin – 200 E. Riverside, Rm. 102	(512) 703-5826
	27	3–5 p.m.	Regional Liaison Meeting	Lubbock – 135 Slaton Rd., Main Conf. Room	(806) 784-4337
	27	3–5 p.m.	Regional Liaison Meeting	San Antonio – 4615 N.W. Loop 410, Bldg. #2, Trng. Rm.	(210) 615-1110
	28	3–5 p.m.	Regional Liaison Meeting	El Paso – 212 North Clark., Main Conf. Rm.	(915) 774-4341
	28	3–5 p.m.	Regional Liaison Meeting	Houston – 7721 Washington Ave., Main Conf. Rm.	(713) 802-5076
	29	3–5 p.m.	Regional Liaison Meeting	Pharr – 600 West U.S. 83, Assembly Rm.	(956) 702-6100
	August	3	3–5 p.m.	Regional Liaison Meeting	Dallas – 4777 East Hwy. 80, Assembly Rm.
3		3–5 p.m.	Regional Liaison Meeting	Abilene – 4250 North Clack St., Training Rm.	(915) 676-6806
3 & 4		1:00 p.m.	TxDOT Letting	Austin – 200 E. Riverside, Rm. 101	(512) 416-2540
3		2–5 p.m.	DBE Certification Workshop	Austin – 150 E. Riverside, Rm. 1B1	(512) 703-5826
4		3–5 p.m.	Regional Liaison Meeting	Atlanta – FM 249 & Park St., Assembly Rm.	(903) 796-2851
September	2 & 3	1:00 p.m.	TxDOT Letting	Austin – 200 E. Riverside, Rm. 101	(512) 416-2540
	2	2–5 p.m.	DBE Certification Workshop	Austin – 150 E. Riverside, Rm. 1B1	(512) 703-5826
October	24	10–3:30 p.m.	Statewide Liaison Meeting	Austin – 125 E. 11th St., Hearing Rm.	(512) 703-5826
	6 & 7	1:00 p.m.	TxDOT Letting	Austin – 200 E. Riverside, Rm. 101	(512) 416-2540
	6	2–5 p.m.	DBE Certification Workshop	Austin – 150 E. Riverside, Rm. 1B1	(512) 703-5826
	26	3–5 p.m.	Regional Liaison Meeting	Austin – 7901 N. I-35, Hearing Rm.	(512) 832-7060
	26	3–5 p.m.	Regional Liaison Meeting	Odessa – 3901 E. U.S. Hwy. 80, Assembly Rm.	(915) 332-0501
	27	3–5 p.m.	Regional Liaison Meeting	Dallas – 4777 East Hwy. 80, Assembly Rm.	(214) 320-6121
	27	3–5 p.m.	Regional Liaison Meeting	Amarillo – 5715 Canyon Dr., Main Conf. Rm.	(806) 356-3256
	28	3–5 p.m.	Regional Liaison Meeting	El Paso – 212 North Clark., Main Conf. Rm.	(915) 774-4341
	28	3–5 p.m.	Regional Liaison Meeting	Tyler – 2709 W. Front St., Assembly Rm.	(903) 510-9116
	November	2	3–5 p.m.	Regional Liaison Meeting	Corpus Christi – 1701 Padre Island, Assembly Rm.
2		3–5 p.m.	Regional Liaison Meeting	Beaumont – 8350 US 69 N, Assembly Rm.	(409) 898-5730
9 & 10		1:00 p.m.	TxDOT Letting	Austin – 200 E. Riverside, Rm. 101	(512) 416-2540
December	9	2–5 p.m.	DBE Certification Workshop	Austin – 150 E. Riverside, Rm. 1B1	(512) 703-5826
	3	10–3:30 p.m.	Statewide Liaison Meeting	Austin – 125 E. 11th St., Hearing Rm.	(512) 703-5826
	7 & 8	1:00 p.m.	TxDOT Letting	Austin – 200 E. Riverside, Rm. 101	(512) 416-2540
	7	2–5 p.m.	DBE Certification Workshop	Austin – 150 E. Riverside, Rm. 1B1	(512) 703-5826

ATTENTION!!! ALL CERTIFIED DBEs

Recently, you received a letter outlining the new Federal DBE Program requirements and a Personal Net Worth Statement (PNWS) form, Addendum To DBE Certification Application. This PNWS must be completed, notarized and returned with a complete copy of the latest personal tax return, including all

schedules and W-2 form(s) filed for all individuals claiming disadvantaged status.

FAILURE TO SUBMIT THE REQUIRED INFORMATION WILL RESULT IN THE LOSS OF DBE CERTIFICATION.

If you have any questions, please call our office at (512) 703-5841/Fax (512) 703-5842.

Visit us on the Web!

The BOP Section has recently added the DBE Directory to the TxDOT Internet site. The directory information includes an alphabetical listing of certified DBEs and a listing of firms by category of work they perform. In order to locate the TxDOT site, go to location <http://www.dot.state.tx.us/>. The DBE directory is located in the **Business** section.



Business
Find the information you need for contracting with TxDOT. See what is happening in the precertification area and find other useful links including Design Standards.

DBE INFORMATION

CAUTION: The FTP button downloads the entire file onto your harddrive. To view the files, click on the underlined portion.

- [DBE Construction Report \(Statewide\)](#)
- [DBE Directory by Alphabetic Listing \(Statewide\)](#)
[DBE Directory by Alphabetic Listing \(by TxDOT District\)](#)
- [DBE Directory by Work Categories \(Statewide\)](#)
[DBE Directory by Work Categories \(by TxDOT District\)](#)
- [Regional DBE/HUB Liaison Committee Meeting Schedule](#)
- [TxDOT Road Lines Newsletter](#)

selected. Clicking on the DBE's name reveals their address, phone number and work categories. Click on the DBE Construction Report to determine if the company is being used on any projects.

NOTICE

DBEs indicating they will work statewide will be listed in the statewide list, but not in the district list.

Once in the Business section, scroll down to DBE INFORMATION for the following options:

DBE Construction Report (Statewide)
An alphabetical listing of all DBEs that have received commitments to work on projects with a DBE goal. The list also includes other projects they have been committed to, in date order.

DBE Directory by Work Categories (Statewide)
A list of DBEs willing to work statewide by each work category. Following that section, DBEs are listed for each district.

DBE Directory by Work Categories (by TxDOT District)
A list of work categories containing DBEs willing to work in the particular District

Regional DBE/HUB Liaison Committee Meeting Schedule

Lists upcoming Liaison Committee Meetings through the end of the year.

TxDOT Road Lines Newsletter
An online version of this Newsletter published by the BOP Section of the Construction Division. 🇹🇽

DBE Directory by Alphabetic Listing (Statewide)
An alphabetical listing of all certified DBEs. It details the type of work the company performs and the districts in which they are willing to work. The information also includes a DBE Construction Report which lists projects on which the company is being used as a DBE to meet a goal.

DBE Directory by Alphabetic Listing (by TxDOT District)
A list of DBEs that are willing to work in a particular district. Like the statewide list, it details the type of work the company performs and the districts in which they are willing to work.

Order Form

- DBE Certification Application
- DBE Certification Application for Truck Owner/Operator
- Precertification Application *(for professional service contracts: <http://www.dot.state.tx.us>)*
- Bidder's Questionnaire *(for maintenance and construction projects – no financial statement required)*

- Prequalification Application *(for maintenance and construction projects - requires audited financial statement)*
- Plan Room request form
- HUB Application (<http://www.gsc.state.tx.us>)
- TxDOT's DBE/HUB Program Rules
- Technical Assistance information
- DBE/HUB Liaison Committee information
- List of TxDOT districts and purchasers
- Other; please specify _____

Please send the items indicated above to:
Please type or print.

Name	
Company	
Address	
City, State & Zip	
Phone #	

Do you have a comment or a question for the Frequently Asked Questions column?

Did You Know . . .

When a contractor (the prime contractor and all tiers of subcontractors collectively), requests additional time or compensation for delays, TxDOT decides whether the delay is excusable or non-excusable? A delay is non-excusable if the cause is within the contractor's control or if the delay is reasonably foreseeable.

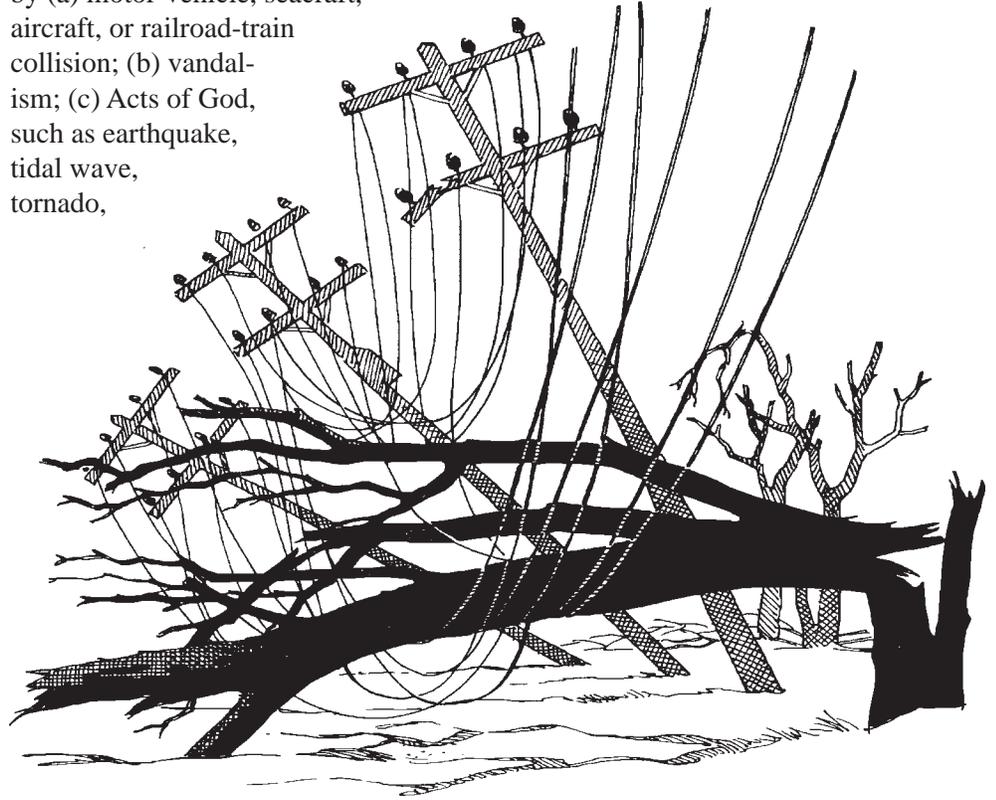
Acts of God (natural disasters or extreme weather conditions) are viewed as neither TxDOT's nor the contractor's fault and therefore, excusable. Both parties suffer because the project is not completed on time. These delays, excusable but non-compensable, mean a contractor is given additional time, but no compensation for overhead costs. In other words, no delay damages are allowed for weather delays. Damaged equipment is the contractor's responsibility. Therefore, the department recommends you check your company's insurance policy to ensure that damages will be covered.

The contractor's responsibility for damages to work is covered in Item 7 – Legal Relations And Responsibilities To The Public, which states in part:

Except for damage by the contractor or that caused by the contractor's operations, the contractor will not be responsible for repairing damage to existing facilities or completed and accepted work ... where such damage is caused by (a) motor-vehicle, seacraft, aircraft, or railroad-train collision; (b) vandalism; (c) Acts of God, such as earthquake, tidal wave, tornado,

hurricane, or other cataclysmic phenomena of natures; or (d) Acts of Governmental Authorities."

Finally, you as a subcontractor should be aware of the contractual clauses between TxDOT and the prime contractor. ✦



NO POSTAGE
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IN THE
UNITED STATES



BUSINESS REPLY MAIL
FIRST-CLASS MAIL PERMIT NO. 175 AUSTIN, TX
POSTAGE WILL BE PAID BY ADDRESSEE

BUSINESS OPPORTUNITY PROGRAMS SECTION
CONSTRUCTION DIVISION
TEXAS DEPARTMENT OF TRANSPORTATION
125 E 11TH ST
AUSTIN TX 78701-9525



FACT NOTE:

Small business flourished in almost all ancient cultures. The Arabs, Babylonians, Egyptians, Greeks, Phoenicians and Romans excelled at small business.

Hammurabi, King of Babylon in 2100 B.C., drafted a code of three hundred different laws to protect consumers and small business owners, especially against fraud. Carved on marble columns 8 feet high, the original code now resides at the Louvre Museum in Paris, France.

Statewide and Regional DBE/HUB Liaison Committee Meetings

The delegate members of the DBE/HUB Statewide Liaison Committee met in Austin on March 19, 1999. One of the issues on the agenda was to review the new federal rules for the DBE Program. Efreem Casarez, Director of the DBE Program for TxDOT, made a presentation that highlighted the changes in the new rules and how they will effect the current administration of the department's DBE Program. The delegates reviewed the objectives of the committee and discussed how they were to achieve their goals.

DBE/HUB Statewide Liaison meetings are held quarterly in Austin. The delegates bring

issues from each of the eight regions to be discussed with TxDOT and Federal Highway Administration committee members. This information is then disseminated at quarterly regional meetings. One of the many issues brought to the committee was revisions to TxDOT's Workers' Compensation/Accident Insurance requirements. After careful review, some of the requirements which were overly burdensome for small businesses were removed.

The regional meetings are the driving force behind the importance of the committee. The attendance of the small business community, business development organizations and local TxDOT employees comprise the participants at the meetings. The delegates preside at regional meetings where valuable information is exchanged regarding doing business with TxDOT and information is collected to format the

agenda for the statewide meetings. Also, the regional meetings provide current contracting and procurement information.

The DBE/HUB Statewide Liaison Committee represents the small business community on a statewide basis. This is one way your participation can interface with the policy makers of TxDOT.



We encourage you to attend the meetings, as personal communication is most effective. However, if you or a representative cannot attend, contact your delegates and let them know about any issues that need to be addressed at the statewide meetings. The delegates are your voice. The current delegates are:

Region 1 - Beaumont, Bryan, and Houston Districts

- James Bellamy, Vice Chair
Bellamy Designs, Inc.
Phone (713) 668-1904
- Mary Randall
Jemari Group, Inc.
Phone (409) 832-4090

Region 2 - Dallas, Fort Worth, Paris, Waco and Wichita Falls Districts

- Wendy Lopez
Wendy Lopez & Associates
Phone (214) 741-7777

- Fernando Laclette
Laclette International Consulting
Phone (972) 699-1367
Region 3 - Austin, San Antonio and Yoakum Districts

- Adalberto Camarillo, Chair
Poznecki-Camarillo, Inc.
Phone (210) 349-3273

- Vacant

Region 4 - Corpus Christi, Laredo and Pharr Districts

- Eddie Saenz
J E Saenz & Associates, Inc.
Phone (956) 383-2984

- Annette Chavez
POSTNET
Phone: (956) 687-5224

Region 5 - Amarillo, Childress, and Lubbock Districts

- Mary Ellen Brandt
Brandt Engineers, Inc.
Phone (806) 353-7233

- Judy Ortiz
J OZ Construction Company
Phone (806) 783-0565

Region 6 - El Paso District

- Jose Rosales
JAR Concrete, Inc.
Phone (915) 591-3389

- Jesus Candelaria
JMC Consultants
Phone (915) 581-9770

Region 7 - Abilene, Brownwood, Odessa and San Angelo Districts

- Mary Weaver
Weaver Trucking
Phone (915) 520-6867

- Rebecca Scott
MIDESSA Industrial Vinyl Company
Phone (915) 333-3055

Region 8 - Atlanta, Lufkin and Tyler Districts

- Alice Richardson
Richardson Environmental & Excavation Service
Phone (903) 792-3371

- Jan Clem
Cass County Crushing Company
Phone (903) 796-8489

TxDOT
Business Opportunity Programs

Phone & Fax Numbers

AC 512

	Phone	Fax
Main Number	703-5826	703-5857
Certification & Audit	703-5830	703-5842
Goal Setting & Data Reporting	703-5828	703-5842
Compliance & Complaints	703-5829	703-5803
Education & Outreach	703-5826	703-5804

TxDOT Road Lines

James Dossett, Editor

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